

Module Details	
Module Title	Fin Tech Regulation, Compliance, Ethics and Risk Management (Distance Learning)
Module Code	AFE7524-B
Academic Year	2021/2
Credits	20
School	School of Management
FHEQ Level	FHEQ Level 7

Contact Hours	
Type	Hours
Directed Study	164
Online Lecture (Synchronous)	10
Online Lecture (Asynchronous)	14
Online Tutorials (Synchronous)	12

Availability	
Occurrence	Location / Period
DLA	University of Bradford / Semester 2

Module Aims
<p>This module aims to introduce students to current developments in FinTech with respect to regulation, governance, compliance and risk management. It explores contemporary FinTech regulation and compliance procedures. It discusses the underlying principles that will go on to influence the governance and risk management of FinTech into the future. At the successful completion of this module, students should be able to understand and critically evaluate governance and risk management procedures as applied to FinTech. Students will also cover contemporary FinTech regulation and compliance procedures as experienced by different countries and jurisdictions. Students will also be able to link their understanding with the recent developments in the global digital economy in general, and the financial services industry.</p>

Outline Syllabus
N/A

Learning Outcomes	
Outcome Number	Description
1	Demonstrate an understanding of Fin Tech regulation and strategy including an awareness of wider financial services regulation and how regulations are applied in practice.
2	Explore current developments in FinTech and its impact on investors, the financial services industry, markets and the global economy.
3	Explore contemporary issues in regulation and compliance procedures within FinTech and cryptocurrencies.
4	Understand the real nature of risk management and governance procedures within FinTech related to retail and wholesale finance.
5	Explore aspects of financial regulation relevant to all Fin Tech businesses.
6	Explore ethical issues related to risk governance and compliance and FinTech.

Learning, Teaching and Assessment Strategy
<p>Learning will be directed, supported and reinforced through a combination of online lectures (Synchronous and Asynchronous) and online (synchronous and Asynchronous) tutorial sessions as well as through directed and self-directed study. Tutorial sessions will complement formal lectures and will reinforce some of the major conceptual, regulatory and legal themes outlined in lectures.</p> <p>All sessions are delivered online using digital legal resources and appropriate learning technologies. The Virtual Learning Environment (VLE) for each module is the hub of knowledge through which all module materials are accessed and where a big part of student work takes place.</p> <p>The module will be delivered over 12 weeks, 5 of which will include synchronous lectures and 7 asynchronous ones. The five synchronous lectures, which involves student interaction with module lecturers and tutors, include a mix of learning activities such as four 2-hour ?live? lectures focusing on delivering content, case studies, tasks, group-based discussions, guest lecturing, and discussing answers to student tasks. One additional 2-hour synchronous lecture will focus on the design of and preparation for the assessment, module revision, and the collection and review of student feedback which will be used to improve module delivery.</p> <p>The seven asynchronous lectures include 2-hour per week pre-recorded presentations or talks on a particular topic, links to relevant videos and online resources and lists of questions and tasks for self-study. Students can watch the presentations, videos and work on the answers and tasks at their own time. In addition, each week contains 1-hour ?live? tutorials focusing on solving and discussing case studies, problems etc.</p> <p>All synchronous lectures and tutorials will be preferably offered in a proper time to consider the differences in time zones. Further, all lectures and tutorials will be recorded and uploaded on Canvas for further access and review.</p> <p>The final assessment for online will be; (1) an 24 hours open book examination and (2) group-based coursework which explores the important themes that are outlined in this module. Appropriate feedback, both formative and summative, will be given for the assessment.</p>

Mode of Assessment			
Type	Method	Description	Weighting
Summative	Short-Time Limited Online Examination	Open Book Examination (24 Hours)	80%
Summative	Coursework - Written	Group-based coursework assignment (1000 words)	20%

Reading List
To access the reading list for this module, please visit https://bradford.rl.talis.com/index.html

Please note:

This module descriptor has been published in advance of the academic year to which it applies. Every effort has been made to ensure that the information is accurate at the time of publication, but minor changes may occur given the interval between publishing and commencement of teaching. Upon commencement of the module, students will receive a handbook with further detail about the module and any changes will be discussed and/or communicated at this point.

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